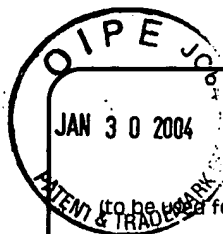


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PTO/SB/21 (08/00)



JAN 30 2004

# TRANSMITTAL FORM

to be used for all correspondence after initial filing)

## Complete if Known

|                        |             |
|------------------------|-------------|
| Application Number     | 09/539,132  |
| Filing Date            | 3/30/2000   |
| First Named Inventor   | McDonough   |
| Art Unit               | 2164        |
| Examiner Name          | Felton      |
| Attorney Docket Number | CMCDO.00001 |

Total Number of Pages In This Submission

## ENCLOSURES (check all that apply)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input checked="" type="checkbox"/> Status Inquiry<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br><i>*Interview Summary</i> |
|--|--|---|

Remarks:

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**GROUP 3600**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |  |
|-------------------------|--|
| Firm or Individual name | Rudolph J. Buchel Jr. (Reg. No. 43,448)<br>P.O. Box 793695<br>Dallas, Texas 75379-3695 |
| Signature               | <i>[Handwritten Signature]</i>   |
| Date                    | January 23, 2004   |

## CERTIFICATION OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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| Typed or printed name | Rudolph J. Buchel Jr.          |      |                  |
| Signature             | <i>[Handwritten Signature]</i> | Date | January 23, 2004 |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/539,132 Confirmation No. : 8936  
Applicant : McDonough  
Filed : 3/30/2000  
TC/AU : 2164  
Examiner : Felton  
Docket Number : CMCDO.00001  
Customer No. :  
Re : Mechanism and Business Method for Implementing a Service Contract Futures Exchange

MS *Non-Fee Amendment*  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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**INTERVIEW SUMMARY**

Dear Sirs:

On January 23, 2003 a telephonic interview was conducted between the Undersigned for the Applicant and Examiner Felton for the United States Patent and Trademark Office. The Applicant appreciates Examiner Felton's granting the interview and for the opportunity to discuss the non-substantive matters described below.

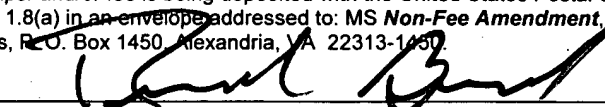
During that interview the Undersigned expressed continued concern for not having received any communication from the USPTO, the length of time necessary for a generating any correspondence and further that the Applicant's correspondences, including a response and multiple status requests and interview summaries had not been posted on the USPTO's PAIR system. Examiner Felton stated that the above identified U.S. Patent Application had been examined within the last month or so and although an Office Action was not pending, that Applicant should expect to receive one shortly. Examiner Felton did not elaborate on the type of correspondence or the precise date that such a correspondence will be mailed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(A)**

I hereby certify this correspondence, paper and/or fee is being deposited with the United States Postal Service as "First Class Mail" to addressee under 37 C.F.R. 1.8(a) in an envelope addressed to: MS *Non-Fee Amendment*, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

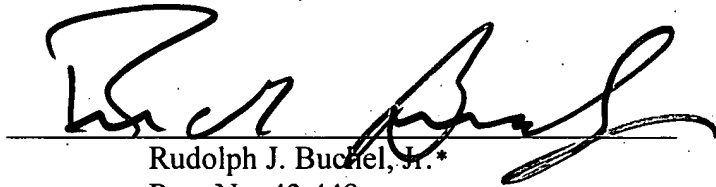
on January 23, 2004

by

  
Rudolph J. Buchel Jr.

Respectfully submitted,

January 23, 2004

A handwritten signature in black ink, appearing to read 'Rudolph J. Buchel, Jr.', is written over a horizontal line.

Rudolph J. Buchel, Jr.\*

Reg. No. 43,448

***Rudolph J. Buchel Jr***

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